

Current Trends in Business Continuity Standards Development...

What This Means for Organizational Certification





Agenda

- Standards Introduction
- Value of Certification
- Audit Approach and Timing
- Keys to Success
- Standards Development and PS-PREP Update
- Conclusions

“Once a standard takes hold, people start to focus on the **quality** of what they do as opposed to **how** they are doing it.”

Thomas L. Friedman

The World Is Flat – A Brief History of the 21st Century

What is a Standard?

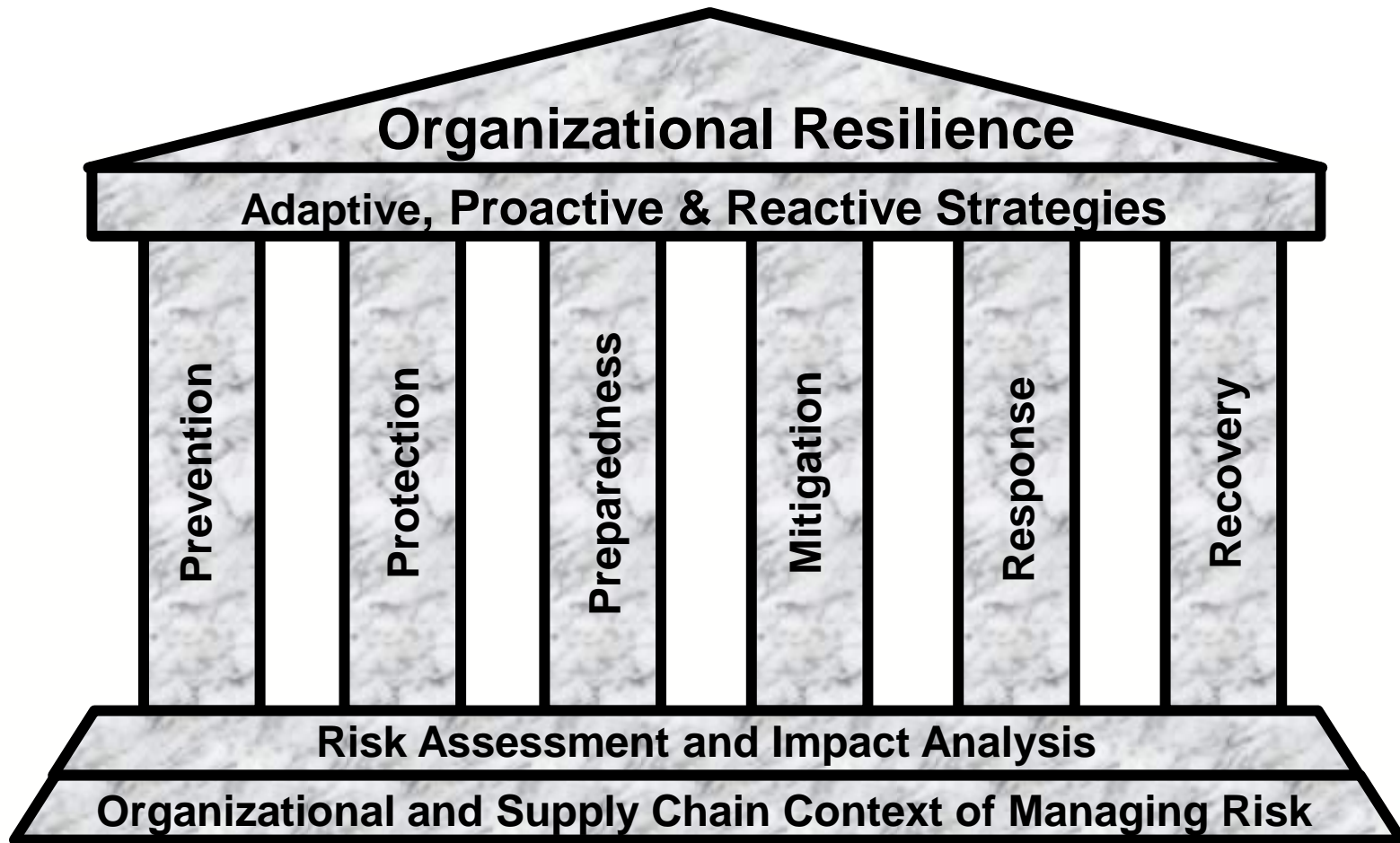
What Standards **ARE**

- A practice that is widely recognized or employed because of its inherent excellence or proven value
- A collection of best practices and guidelines
- Developed collaboratively in a consensus process
- Evolutionary – revisited and revised at regular intervals
- Voluntary

What Standards **ARE NOT**

- Regulations
- Just controls
- Prescriptive
- Necessarily “how to implement” documents
- Certifications

A Strong Foundation for Managing Risks



Why Do We Need Standards?

- Financial, Operational and Reputation Protection
- Meet Stakeholder Expectations
- Standard of Care
- Keep the “Bad Stuff” From Occurring

“Compliance” with Standards = Comfort

Standards and Certification

Alignment with Standards **DOES NOT** Mean the Organization Intends to (or Should) Pursue Certification

Remember:

- Certification is a business decision, benefits of implementing the standard should be reaped regardless of whether the organization pursues certification.
- Certification is not a one-time event, it is an ongoing process (and expense).

The Certification Value Proposition

Strength of Criteria	Criteria Influencing The Certification Decision-Making Process
<input type="checkbox"/> (Strong)	Addresses Customer Demand (creates comfort)
<input type="checkbox"/> (Strong)	Differentiates the Organization in the Marketplace
<input type="checkbox"/> (Moderate)	Offers Cost Savings Opportunities Through Strategic Business Alignment
<input type="checkbox"/> (Moderate)	Addresses Senior Leadership Inquiries Regarding Preparedness and Alignment with “Best Practices”
<input type="checkbox"/> (Moderate)	Keeps Management’s Attention on Preparedness

Certification is **NOT** for Everyone – But Standards **ARE!**

Business Continuity Related Standards

- **BS 25999-2**
 - **NFPA 1600 v2007 / v2010**
 - BS 25777
 - AS/NZ HB 221
 - Singapore TR19
 - *AS/NZS 5050.1:200X*
 - *ASIS ANSI BCMS Standard*
- “Other”
- **ASIS SPC.1-2009**
 - ISO 31000
 - Guide 73
 - ISO 28000
 - ISO 20000
 - ISO 27001

<http://www.avalution.com/Perspectives/Standards/Pages/default.aspx>

BS 25999-2 (2007)

- Development Sponsored by the British Standards Institution (BSI)
- Management Systems-Oriented Standard
 - -1 Document (Code of Practice, 2006)
 - -2 Document (Specification, 2007)
- Available Presently for Organizational Certification
- Not Just a European Standard



NFPA 1600 (2007)

- Development Sponsored by the National Fire Protection Association (NFPA) and in Compliance with American National Standard Institute (ANSI) Guidelines
- Originally Introduced in 1995
- 2007 Version Referenced by the 9/11 Commission and “Implementing” Legislation
- Life-Cycle and Program Management Concepts
- 2010 Version Released on 1/19/2010

<http://www.nfpa.org/assets/files/PDF/NFPA1600.pdf>

ASIS SPC.1-2009

- Business Continuity + Proactive Risk Treatment (Resilience)
 - Anticipate, prevent if possible, prepare for and respond to a disruptive incident
- Management Systems-Oriented Standard
- Written for Certification, Presently Not Available
- Emerging ASIS Standard Does Not Replace SPC.1

http://www.asisonline.org/guidelines/ASIS_SPC.1-2009_Item_No._1842.pdf

A Brief Comparison

	BS 25999-2	NFPA 1600 (2007 / 2010)	SPC.1-2009
Written for Certification?	Yes	No / Partially	Yes
Management Systems-Oriented?	Yes	No / Yes	Yes
International?	Yes	Yes (variants adopted internationally)	No
Proactive and Reactive Risk Treatment?	Yes (but proactive is emphasized less so than the other two)	Yes	Yes
Unique Aspects	<ul style="list-style-type: none"> • Products and Services • Scoping • “MTPOD” • <u>CAPA</u> 	<ul style="list-style-type: none"> • Resource Management • Needs Analysis • Mutual Aid • IMS 	<ul style="list-style-type: none"> • Organizational Focus • Emphasis on Incident Prevention, Preparedness and Response

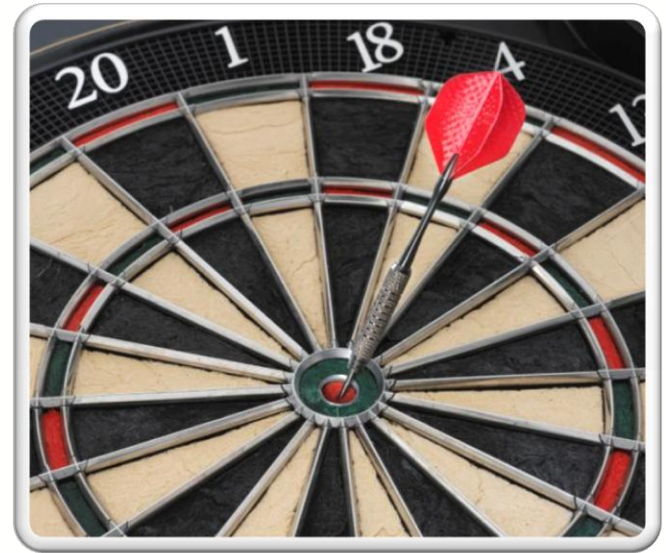
“Likely” PS-PREP Certification Process

- ANAB Charged with Developing Approach
- Scope the Certification Effort
- Final Preparations
- The Audits
- Three Year Certification Cycle
- Timing / Cost Implications
- Addressing Non-Conformities
- Grandfathering...



Keys to Success: Preparing for Certification

- Understand Commitment
- Develop Scope Based on Organizational Value
- Solicit Management Support
- Execute and Retain Evidence
- Recognize Your Organization Doesn't Have to be "Perfect"



Keys to Success: The Assessment Process

- Prepare Materials and People in Advance (Documentation, Evidence, Interview Schedule, etc.)
- Educate the Auditors on Your Program / Management System and its Alignment to the Selected Standard
- Address Examiner Requests in a Timely Manner



Certification Lessons Learned

- Policy and Framework
- Internal Audit
- Corrective Actions and Management Review
- Risk Assessment and BIA Integration
- Company Training and Awareness
- Keep it Simple and Meaningful to Organization

What's Taking Place Now?

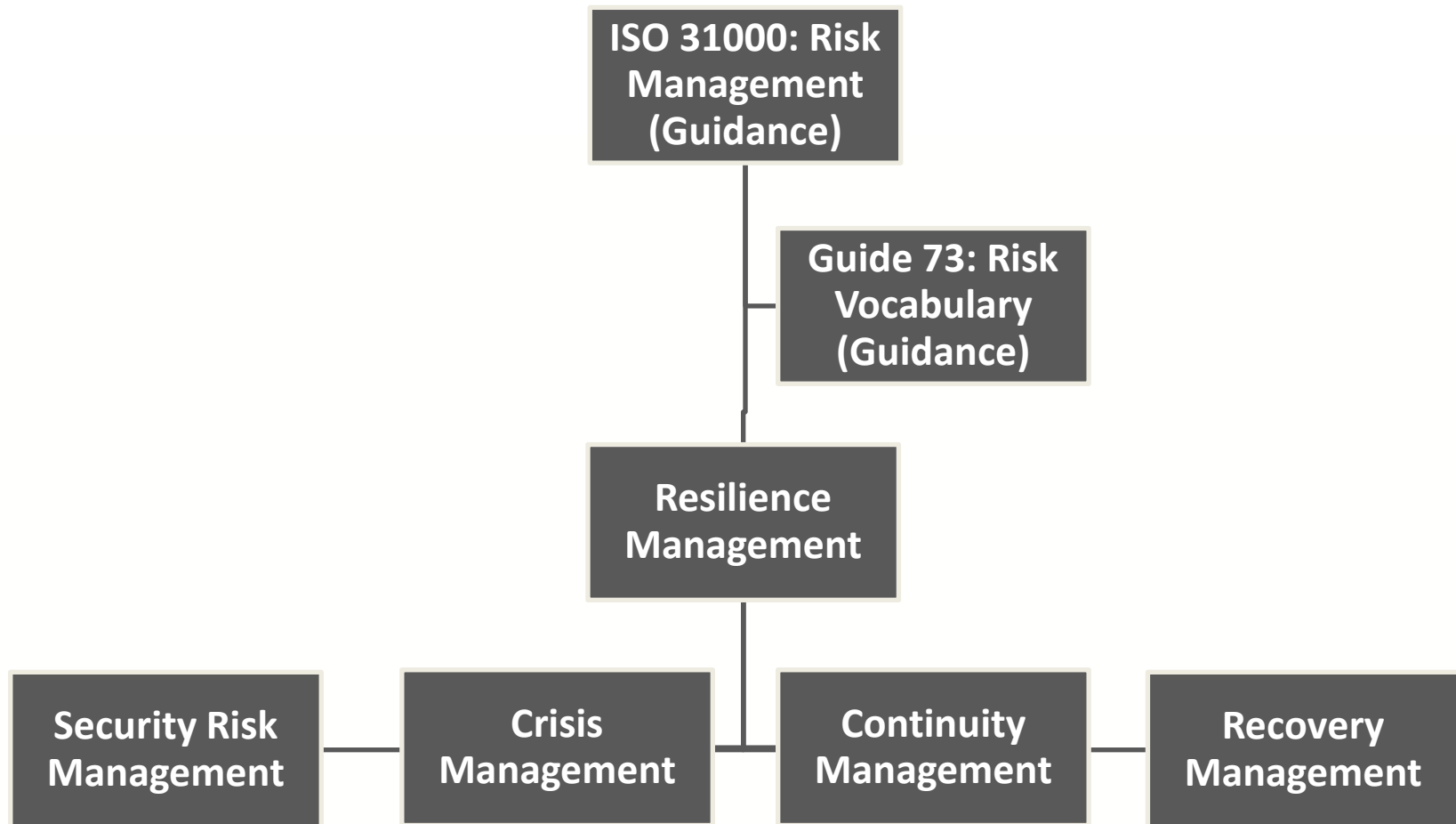
Current Events

- ISO 31000 – Released November 2009
- BS 25999 Certification Ongoing
- ASIS (ANSI) Standard Development
 - SPC.1 Organizational Resilience
 - ASIS/ANSI Business Continuity Management Systems Standards Development Process (Q4-2010/Q1-2011)
- NFPA 1600 v2010 Released in February
- Technical Committee 223 – Preparedness and Continuity Management System (Q2/Q3-2011)
- Title IX Program Implementation (PS-PREP)

ISO 22301 Update

- Currently in the WD (Working Document) Level and Still in Committee (no public copies of the document are available currently)
- Foundation is BS 25999, Other Standards are Being Included Based on Consensus
- “Societal Security – Preparedness and Continuity Management Systems – Requirements”

Future Structure of Standards?



Title IX Update

- October 15, 2009 Press Release
 - NFPA 1600
 - BS 25999
 - SPC.1-2009
- DHS / FEMA Will Make Final Selections
- ANAB Will Create Audit Approach For Each

Getting Involved with Standards Development

- ASIS/ANSI Business Continuity Management Systems Standard
 - Public Comment (Q3-2010)
- US TAG to ISO Technical Committee 223
 - Contact: David Trebisacci, NFPA, dtrebisacci@nfpa.org



Just Do Something!

Conclusions

- Determine an Approach that Fits Your Business Model
- Consider a Phased Approach to Build Momentum
- Allow Standards to Help You Think Critically
- Use Standards to “Do Something”!
- Certification Offers a Unique Opportunity
 - But only do so if it offers your organization value
- Don’t Be Afraid of the Noise or Change
- Focus on Performance

Additional Information

- [How to Deploy BS 25999 \(2nd edition\)](#)
- [NFPA 1600 2010 Edition – What You Need to Know](#)
- [BS 25999 – Four Myths and A Truth](#)
- [Is Business Continuity Certification Right for Your Organization?](#)

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